

Citizenship By Investment Programme

APPLICATION FOR
SAINT LUCIAN
CITIZENSHIP –
PRINCIPAL

SL-2A

Authorised Agents are parties who have provided advice and guidance to you prior to the submission of your application, and who have permission to submit your application and subsequently conduct business on your behalf with the Citizenship by Investment Unit of Saint Lucia.

The Authorised Agent must maintain a place of business in Saint Lucia and must be licensed. Your Authorised Agent will be able to provide you with the information requested on this form (such as license number).

You may choose to work with ANY licensed Authorised Agent. Please note that ONLY the Citizenship by Investment Board can approve your application for Saint Lucian citizenship. No other person, agent, agency or organization can guarantee the approval of your application. ONLY an authorised agent can submit a form on your behalf.

INSTRUCTIONS

The application is submitted in a single step.

1. Place your proof of payment as the first document in your submission. Please refer to the attached Bank Account Information for details on how to make the payment of fees.
2. Organise all your documents in the same order as listed in the Document Checklist SL1 and identify them with a tab bearing the corresponding number from the list. If, in exceptional cases, an applicant cannot submit a document that applies to his/ her situation, provide a substitute document and enclose an explanation. The substitute document and the explanation must be inserted in place of the document that they replace. If a substitute document cannot be presented, you MUST give a detailed explanation.
- 3 Check the box corresponding to each document that you are submitting and attach this Document Checklist SL1 to your application. N/A (not applicable) indicates that you do not need to submit this document.
4. Collect all requested documents in the required format (original or certified true copy).
5. Before sending the application, make sure to:
 - Keep a photocopy of all the documents that are submitted.
 - Ensure that all the forms and declarations submitted are dated and signed.
 - Place printed application in a sealed envelope, in the same order as listed on the Document Checklist.
 - Address the sealed envelope to:
ATT: Chief Executive Officer Citizenship by Investment Unit
5th Floor Francis Compton Building Waterfront
Castries, Saint Lucia
 - Hand deliver the sealed envelope to the address above.

DOCUMENT REQUIREMENTS

Format of Documents

Documents submitted in their original language must be in the required format; original or certified true copy. A non-conforming format may result in the rejection of the application or its return to the sender. Certified true copies must be of excellent quality or else they will be deemed inadmissible.

Authenticated Translation

If you submit documents or parts of documents in a language other than English, you MUST provide:

- The document in its original language, in the required format (original or certified true copy); AND
- An authenticated translation to the English language. An 'authenticated translation' means a translation effected by either a professional translator who is officially accredited to a court of law, a government agency, an international organization or similar official institution, or if effected in a country where there are no official accredited translators, a translation effected by a company whose role or business is effecting professional translations, the Unit will accept.
- If the document is written in English but includes a seal or signature in another language, a translation of the seal .
- A copy of the translator's credentials or professional certification must accompany the translated documents.

Verification and Investigation

Be advised that the Unit will:

- Verify the accuracy of information provided or have it verified by third parties. It is an offence under the law to knowingly give the Unit any information that you know or should have known to be false or misleading in relation to your application for citizenship by investment.
- Reject any application that contains false or misleading information or documents.
- Cause your citizenship to be revoked if it was issued on the strength of an application containing false or misleading information or documents OR omitted or concealed information under Section 38 (1) of the Act.

I am completing this form as a Principal Applicant YES No
If you are completing the Application as a Spouse or Dependent of a Principal Applicant, please complete Form SL-2B.

1. APPLICANT DETAILS

1.1 Your full name as shown on your birth certificate.

Family Name or Surname First Name

Middle Name Other Name(s)

Place of Birth Country of Birth Date of Birth (DD/MM/YYYY) Gender

1.2 Permanent Address

Street Address City Zip Code

Country

1.3 Passport Details

Issuing Country Passport Number

1.4 Contact Information

Mobile Telephone Number Home Telephone Number

Email Address LinkedIn Profile

1.5 Contact information for a person other than the applicant:

Name Relation to the applicant

Email Address Physical address

Telephone

2. USE OF AUTHORIZED AGENT

This Section should be completed by the Principal Applicant ONLY. If you are a spouse OR Dependent of a Principal Applicant, go to Section 4.

ONLY an Authorized Agent can apply on your behalf.

- I authorize the following individual or entity to serve as my authorized agent and to act on my behalf with the Citizenship by Investment Unit of Saint Lucia.
- I authorize the Citizenship by Investment Unit of Saint Lucia to release information from my case file and that of my spouse and dependent children to my authorized agent as may be necessary. The authorization is in accordance with the Data Protection Act, Cap 8.18.
- I am aware that any information which would be subject to exemption, if I had the right of access under the Data Protection Act, Cap 8.18 will likely not be released.

Name of Authorized Agent

Authorized Agent Licence Number

Authorized Agent Address in Full

Business Telephone Number

Mobile Telephone Number

Email Address

Promoter who Referred Applicant
*Please write N/A if you did not get a referral
From a Promoter.

Promoter Licence Number

Marketing Agent who Referred Applicant
*Please write N/A if you did not get a referral
From a Marketing Agent

Marketing Agent Licence Number

Place

Date

Signature of Authorized Agent

3. INVESTMENT CONFIRMATION

A qualifying investment under the Citizenship by Investment Programme must satisfy at least the minimum investment in the chosen option. Tick the investment option you have chosen.

INVESTMENT OPTION	MINIMUM QUALIFYING INVESTMENT (USD)
The Saint Lucia National Economic Fund	\$100,000
An Approved Real Estate Project	\$200,000
An Approved Enterprise Project	Option 1: \$3,500,000 Option 2: \$6,000,000 Option 3: \$100,000
Government Bond	\$300,000

4. PERSONAL DETAILS

4.1 Have you changed your name since birth? Yes No

If yes, please state the method used to change your name

Marriage Deed Poll Adoption Other

Insert your new legal name below. You must submit one supporting documents for proof of name change e.g Deed Poll; Adoption Papers etc.

Family Name (Last Name)

First Name

Middle Name

Other Name (s)

4.2 Please write your name as it is written in Ethnic Script in the space below.

Please note that should citizenship be granted, a name change will not be permitted within five (5) years.

4.3 Do you hold, or have you ever held any other citizenship? Yes No

If yes, please specify the country or countries and how you acquired citizenship. List any dates of changes in citizenship including the place at which such changes were recorded. Provide proof of any other citizenship by submitting a Certificate of Citizenship.

Country or Territory of Citizenship	Date of Citizenship	Explanation

4.4 Do you hold, or have you ever held permanent residency in any country? Yes No

If yes, please specify the country or countries and how you acquired permanent residency. List any dates of any changes of permanent residency including the place at which such changes were recorded. Proof of permanent residency is a Permanent Resident Card or Certificate.

Country or Territory of Citizenship	Date of Permanent Residency	Explanation

4.5 Have you ever served in the armed forces? Yes No

If yes, please provide details including branch, date of entry, and separation and ranking at the time of separation. Proof of service in the armed forces is a Certified Copy of your military record.

Branch	Date of Entry	Date of Separation	Rank at Separation

5. IDENTIFICATION INFORMATION

Provide two passport-sized, coloured photographs of yourself taken within the last six months. Refer to the Document Checklist for details on the passport requirements.

5.1 What is your natural eye color? Blue Grey Brown Green Black Other

5.2 What is your height? cm or ft. in.

5.3 Do you have any distinguishing marks? Yes No
If yes, describe below.

5.4 What are your social security details?

Social Security Number

Issuing Country

5.5 National Identification Card Details (Attach a certified copy of your National Identification Card, if applicable)

Identification Card Number

Issuing Country

Expiry Date

5.6 Passport Details (Attach certified copies of ALL your valid passports).

	Complete for each additional passport you hold. Attach additional pages if required.		
	Complete for passport issued by your country of birth	Passport 1	Passport 2
Issuing Country			
Passport Number			
Place of Issue			
Date of Issue			
Date of Expiration			

5.7. Current Address (You MUST provide proof of your current residential address. Proof of residence includes an original utility bill, lease agreement, bank statement with address)

Street Address

City

Zip Code

Country

5.8 List all the addresses where you have lived for the last ten years. Please ensure that there are no gaps in your residential history.

1	From (DD/MM/YYYY)	Apt/Unit	Street No.	Street Name		City/Town
	PRESENT	Country/Territory		Prov/State	Postal Code	District
2	From (DD/MM/YYYY)	Apt/Unit	Street No.	Street Name		City/Town
	To (DD/MM/YYYY)	Country/Territory		Prov/State	Postal Code	District
3	From (DD/MM/YYYY)	Apt/Unit	Street No.	Street Name		City/Town
	To (DD/MM/YYYY)	Country/Territory		Prov/State	Postal Code	District
4	From (DD/MM/YYYY)	Apt/Unit	Street No.	Street Name		City/Town
	To (DD/MM/YYYY)	Country/Territory		Prov/State	Postal Code	District

5.9 In the past ten (10) years, have you lived in any country other than your birth country for a period of 365 days or more? _____ Yes _____ No

If yes, list every country or territory in the table below. You must provide a police certificate for each country or territory where you have been present for at least a year for the last ten (10) years. If you cannot get a police certificate, tell us why below.

The police certificate must either have been issued:

- After the last time you were in that country or territory; or
- No more than 6 months before the date you submit your citizenship by investment application.

Use additional paper if required.

Country or Territory	I will provide a Police Certificate	Explanation

6. FAMILY INFORMATION

6.1 Your marital status?

____ Never Married ___ Married ____ Divorced ____ Separated ____ Widowed ____ Engaged

If married, please attach a certified copy of your Marriage Certificate.

Date of Marriage

Place of Marriage (City/Town/ Country or Territory)

If divorced, please attach a certified copy of your Divorce Decree.

Date of Divorce

Place of Divorce (City/Town/ Country or Territory)

6.2 Spouse Personal Details, if applicable.

Is your spouse included in this application? Yes No

If no, complete the information below:

Spouse's Full Name (after marriage)

Spouse's Full Name (before marriage)

Last Name (Surname)

First Name

Middle Name

Other Name (s)

Place of Birth Country of Birth

Date of Birth (DD/MM/YYYY) Gender

Spouse's Occupation

Spouse's Email Address

Spouse's Nationality

Spouse's Passport Number

6.3 Spouse's Residential Address, if different from your residential address.

Street Address

City

Zip Code

Country

Date since residing at address (DD/MM/YYYY)

6.4 Father's Personal Details.

Is your father included in this application? Yes No

If no, complete the information below:

Father's Last Name (Surname)

Father's First Name (Given Name)

Father's Middle Name(s)

Father's Other Name(s) (Known As)

Place of Birth

Country of Birth

Date of Birth (DD/MM/YYYY)

Father's Occupation

Father's Email Address

Father's Nationality

Father's Passport Number

6.5 Father's Residential Address, if different from your residential address.

Street Address

City

Zip Code

Country

Date since residing at address (DD/MM/YYYY)

6.6 Mother's Personal Details.

Is your mother included in this application? ___Yes ___No

If no, complete the information below:

Mother's Last Name (Surname)

Mother's First Name (Given Name)

Mother's Middle Name(s)

Mother's Other Name(s) (Known As)

Place of Birth

Country of Birth

Date of Birth (DD/MM/YYYY)

Mother's Occupation

Mother's Email Address

Mother's Nationality

Mother's Passport Number

6.7 Mother's Residential Address, if different from your residential address.

Street Address

City

Zip Code

Country

Date since residing at address (DD/MM/YYYY)

6.8 Children's Personal Details. (Complete for all biological, adopted and stepchildren. Attach additional pages as required)

Are all your children included in this application? ___Yes ___No

If no, complete the information below:

Child's Last Name (Surname)

Child's First Name (Given Name)

6.12 Previous Spouse Personal Details, if applicable. (Attach additional pages if necessary)

 Previous Spouse's Full Name (after marriage)

 Previous Spouse's Full Name (before marriage)

 Place of Birth

 Country of Birth

 Date of Birth (DD/MM/YYYY)

 Gender

 Date of Divorce Order/Decree

 Duration of Marriage (number of months/years)

7. INCOME AND SOURCE OF WEALTH

a. What is your occupation _____

b. Are you self-employed? ___Yes ___No

If yes, please complete the following section 7.1 below.

If no, with the details of your primary business. If no, skip 7.1 and please complete section 7.2

7.1 Details of your primary business, if applicable.

 Name of Business

 Nature of Business

 Registered Address of Business

 Business Website Address

 Business Telephone Number

 Business LinkedIn URL

7.2 Details of Employer's Business, if applicable. (Attach a Curriculum Vitae and Employment Letter or Contract as proof of employment)

 Name of Employer

 Nature of Employer's Business

 Registered Address of Employer's Business

 Employer's Website Address

 Employer's Telephone Number

 Employer's LinkedIn

7.3 What is your main source(s) of income?

7.4 What is the main geographical jurisdiction(s) in which you work/conduct business?

7.5 What are the most frequent companies or persons with whom you do business?

7.6 List all the companies of which you are currently a director or shareholder.

7.7 What is your estimated gross annual income in USD?

7.8 Please provide the personal bank account details from which you will be sending funds to the Citizenship by Investment Board.

Name of Account Holder	IBAN/BIC Code	Account Number
Bank Name and Address		

7.9. Please provide the banking details of any other account you may use for sending funds to the Citizenship by Investment Board.

Name of Account Holder	IBAN/BIC Code	Account Number
Bank Name and Address		

8. EDUCATION & PROFESSIONAL CERTIFICATION

Please list all the schools or training institutions attended from the age of 18 and all qualifications obtained up to the highest level of education you successfully completed. (Proof of qualifications is Professional and Academic Certificates).

Start MM/YY	End MM/YY	Name of Institution	Address of Institution	Qualification Achieved

9. DECLARATIONS

		Yes	No
9.1	Excepting a minor offence, have you ever been: <input type="checkbox"/> arrested <input type="checkbox"/> detained <input type="checkbox"/> charged <input type="checkbox"/> indicted <input type="checkbox"/> convicted <input type="checkbox"/> found guilty <input type="checkbox"/> had a criminal record expunged ?		
9.2	Have you ever been denied any category of visa to a country with which Saint Lucia has visa free access and have not been successful in subsequently obtaining such a visa?		
9.3	Have you ever had a visa cancelled or have been unlawfully present in or deported from ANY country or sought to assist others to do the same?		
9.4	Have you ever been declared bankrupt by a court? If yes, note date, city, state, and country in which the court declared you bankrupt.		
9.5	Have you ever been involved personally, or as a director, in any bankruptcy, insolvency or liquidation proceedings?		
9.6	Have you ever testified before a grand jury or investigative hearing or probe?		
9.7	Have any charges, or accusations of illegal activity of any nature been made against you in any country?		
9.8	Have you ever been the subject of a criminal investigation?		
9.9	Have you ever been considered a potential national security risk in any country?		
9.10	Have you ever been sentenced to serve a period in detention or been on probation?		
9.11	Have you ever received a pardon for a criminal offence? If yes, note that date, city, county, state, and country in which you received the pardon.		
9.12	Have you ever had a civil or criminal record expunged or sealed by a court order?		

9.13	Have you ever been subpoenaed to appear to testify before a federal, state or county grand jury, board, or commission?		
9.14	Has a criminal indictment, information or complaint even been returned against you, but for which you were not arrested or in which you were named as an in-indicted co-party?		
9.15	Have you, as an individual, or as an owner, partner, director or officer of any partnership, corporation, or entity, ever been a party of a lawsuit as either a plaintiff or defendant? (Other than divorce)?		
9.16	Have you ever been involved, directly or indirectly, in the financing of terrorism or in any terrorist or criminal organization?		
9.17	Have you ever been unlawfully present in or been deported from any country, or sought to assist others to do the same?		
9.18	Have you ever applied for citizenship or residency in any country for which the citizenship or residency has NOT been granted?		
9.19	Have you ever been the subject of any order, judgement or decree of any federal or state authority barring, suspending, or otherwise limiting your right to engage in any professional or business practice or activity?		
9.20	Are you a politically exposed person (PEP)? (A PEP may be past or current government office holders, or individuals who are or were formerly entrusted with high-level public functions. For example, senior officers, heads of state of government, senior judicial or military officers, officials of political parties and senior executives of state-owned enterprises (SOE). PEP definition includes family members and close associates of a primary PEP).		
9.21	Have you ever been declared by a court or a qualified health practitioner to be mentally incapacitated?		
9.22	Are there any other business activities in which you are engaged that have not already been disclosed on this form?		
9.23	To the best of your knowledge, have you ever been under investigation by any law enforcement agency or tax authority in any country?		
9.24	I confirm that my wealth has been obtained from completely legitimate sources, and is not, whether directly or indirectly, from the proceeds of criminal activity of any kind.		
9.25	I can confirm that I am fully compliant with my national, regional, and global tax obligations.		

If you have answered “yes” to any of the questions other than 9.24 or 9.25 please provide further details. Clearly indicate that number for which the details are being provided in each case. Attach additional sheets as required.

